

DEC 18 2006

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Fax: (617) 345-1300

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US PTO	United States Patent and Trademark Office	1-571-273-8300	

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From: Ronald I. Eisenstein Lecna H. Karttunen	Date: December 18, 2006	No. of Pages: <u>80</u> (including this page)	701586-050174-DIV
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Practitioner's Docket No. 701586-050174-DIV **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Adam Lerner et al. Confirmation No.: 8480
 Application No.: 10/060,759 Group No.: 1614
 Filed: 01/30/2002 Examiner: Phyllis G. Spivack

For: COMPOSITIONS AND METHODS FOR THE TREATMENT OF CHRONIC LYMPHOCYTIC LEUKEMIA

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8)

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1. Facsimile Cover Sheet (1 pg.);
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5. Request for Continued Examination (RCE) in duplicate (2 pp.);
6. Petition for Extension of Time in duplicate (2 pp.);
7. Amendment (6 pp.);
8. Exhibit A (21 pp.);
9. Exhibit B (22 pp.); and
10. Fee Transmittal in duplicate (2 pp.).

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PATENT

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In re application of:	Adam Lerner et al.	Confirmation No.:	8480
Application No.:	10/060,759	Group No.:	1614
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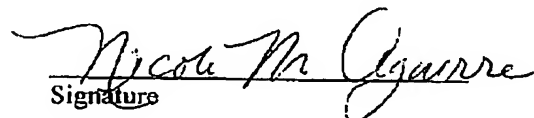
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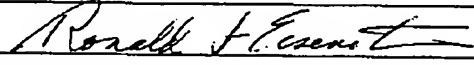
Nicole M. Aguirre
(type or print name of person certifying)


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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/060,759
		Filing Date	01/30/2002
		First Named Inventor	Adam Lerner et al.
		Group Art Unit	1614
		Examiner Name	Phyllis G. Spivack
Total Number of Pages in This Submission		Attorney Docket Number	701586-050174-DIV

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile Cover Sheet; Certificate of Transmission; Request for Continued Examination; Statement of Limited Recognition; and Exhibits A and B.
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nixon Peabody LLP 100 Summer Street Boston, MA 02110-2131
Signature	
Name	Ronald I. Eisenstein (30,628) / Leena H. Karttunen (L0207)
Date	December 18, 2006

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December 18, 2006 Date	 Signature Nicole M. Aguirre Typed or printed name

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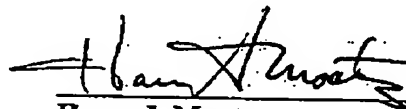
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Leena H. Karttunen is hereby given limited recognition under 37 CFR § 11.9(b) as an employee of Nixon Peabody LLP, to prepare and prosecute patent applications wherein the patent applicant is the client of Nixon Peabody LLP and an attorney or agent of record in the applications is a registered practitioner who is a member of Nixon Peabody LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Leena H. Karttunen ceases to lawfully reside in the United States, (ii) Leena H. Karttunen's employment with Nixon Peabody LLP ceases or is terminated, or (iii) Leena H. Karttunen ceases to remain or reside in the United States on an H-1B visa.

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Limited Recognition No. L0207
Expires: February 11, 2007



Harry I. Moatz
Director of Enrollment and Discipline

FEE TRANSMITTAL FOR FY 2006 <i>Patent fees are subject to annual revision.</i>		<i>Complete if Known</i>	
		Application Number	10/060,759
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	01/30/2002
		First Named Inventor	Adam Lerner et al.
		Art Unit	1614
		Examiner Name	Phyllis G. Spivack
		Attorney Docket No.	701586-050174-DIV
TOTAL AMOUNT OF PAYMENT	(\$) 905 00	RECEIVED CENTRAL FAX CENTER DEC 18 2006	

METHOD OF PAYMENT (check all that apply)

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Deposit Account Name Nixon Peabody LLP

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☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	300	2001	150	Utility filing fee	
1002	200	2002	100	Design filing fee	
1003	200	2003	100	Plant filing fee	
1004	300	2004	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
SUBTOTAL (1)					(\$)
					0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				
		Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text" value="20"/>	<input type="text" value="20"/>	X <input type="text" value="0"/>	= <input type="text" value="0"/>
Independent Claims	<input type="text" value="3"/>	<input type="text" value="3"/>	X <input type="text" value="0"/>	= <input type="text" value="0"/>
Multiple Dependent			X <input type="text" value="0"/>	= <input type="text" value="0"/>

Large Entity Fee Code	Small Entity Fee Code	Fee Code	Fee Code	Fee Description
1202 50	2202 25			Claims in excess of 20
1201 200	2201 100			Independent claims in excess of 3
1203 360	2203 180			Multiple dependent claim, if not paid
1204 200	2204 100			** Reissue independent claims over original patent
1205 50	2205 25			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	<input type="text" value="(5) 0.00"/>
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**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	510.00
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petitor to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	395.00
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$ 905.00
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December 18, 2026
Date

Nicole M. Squire
Signature
Nicole M. Squire
Typed or printed name

SUBMITTED BY				<i>Complete (if applicable)</i>	
Name (Print/Type)	Ronald L. Eisenstein Leena H. Karttunen	Registration No. (Attorney/Agent)	30,628 1,0207	Telephone	617-345-6054 617-345-1367
Signature				Date	December 18, 2006

FEE TRANSMITTAL FOR FY 2006 <i>Patent fees are subject to annual revision.</i>		<i>Complete if Known</i>																																																																																																																																																						
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METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																						
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																																																																																																																																																						
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 19-2380 Deposit Account Name: Nixon Peabody LLP																																																																																																																																																								
<input checked="" type="checkbox"/> The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr><tr><td>1251</td><td>120</td><td>2251</td><td>60</td><td>Extension for reply within first month</td></tr><tr><td>1252</td><td>450</td><td>2252</td><td>225</td><td>Extension for reply within second month</td></tr><tr><td>1253</td><td>1,020</td><td>2253</td><td>510</td><td>Extension for reply within third month</td></tr><tr><td>1254</td><td>1,590</td><td>2254</td><td>795</td><td>Extension for reply within fourth month</td></tr><tr><td>1255</td><td>2,160</td><td>2255</td><td>1,080</td><td>Extension for reply within fifth month</td></tr><tr><td>1401</td><td>500</td><td>2401</td><td>250</td><td>Notice of Appeal</td></tr><tr><td>1402</td><td>500</td><td>2402</td><td>250</td><td>Filing a brief in support of an appeal</td></tr><tr><td>1403</td><td>1,000</td><td>2403</td><td>500</td><td>Request for oral hearing</td></tr><tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr><tr><td>1452</td><td>500</td><td>2452</td><td>250</td><td>Petition to revive - unavoidable</td></tr><tr><td>1453</td><td>1,500</td><td>2453</td><td>750</td><td>Petition to revive - unintentional</td></tr><tr><td>1501</td><td>1,400</td><td>2501</td><td>700</td><td>Utility issue fee (or reissue)</td></tr><tr><td>1502</td><td>800</td><td>2502</td><td>400</td><td>Design issue fee</td></tr><tr><td>1503</td><td>1,100</td><td>2503</td><td>550</td><td>Plant issue fee</td></tr><tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td></tr><tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr><tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Sheet</td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr><tr><td>1809</td><td>790</td><td>2809</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr><tr><td>1810</td><td>790</td><td>2810</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td></tr><tr><td>1801</td><td>790</td><td>2801</td><td>395</td><td>Request for Continued Examination (RCE)</td></tr><tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - 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